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1, 18

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AND PROCEEDINGS BEFORE FEDERAL COURTS & AGENCIES *REGISTERED PATENT AGENT

WRITER'S DIRECT NUMBER: (202) 789-5522 **INTERNET ADDRESS:** CSAYLES@SKGF.COM



GROUP ART UNIT: 2154 Confirmation No. 4624

September 19, 2001

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/892,482; Filed: June 28, 2001

System, Method, and Computer Program Product for Customizing

Channels, Content, and Data for Mobile Devices

Inventors:

Kloba et al.

Our Ref:

1933.001000C

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement (IDS) (in duplicate);
- 2. Form PTO-1449 with one-hundred ninety (190) accompanying documents; and
- 3. One return post card.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents September 19, 2001 Page 2

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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Cristal D. Layles Crystal D. Sayles

Attorney for Applicants Registration No. 44,318

MQL/CDS/tc Enclosures

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#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kloba et al.

Appl. No. 09/892,482

Filed: June 28, 2001

For: System, Method and Computer

Program Product for Customizing

Channels, Content, and Data for

Mobile Devices

Confirmation No. 4624

Art Unit: 2154

Examiner: To be assigned

Atty. Docket: 1933.001000C



Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application

does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- □ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - □ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - □ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
 - □ c. Attached is our Check No. _____ in the amount of \$ ____ in payment of the fee under 37 C.F.R. § 1.17(p).
- □ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice

		of Allo	wance,	out before	payment of t	he Issue F	ee. Enclose	ed find ou	ır Check
		No		in the am	ount of \$ _	in pa	syment of	the fee u	ınder 37
		C.F.R.	§ 1.17(₁	o); in addi	tion:				
	□ a.	I hereb	y state	that each	tem of infor	mation co	ntained in	this Info	ormation
			Disclos	ure Stater	nent was cite	ed in a cor	nmunicatio	n from a	a foreign
			patent o	office in a	counterpart f	oreign app	lication no	t more th	nan three
			months	prior to tl	ne filing of th	nis Inform	ation Discl	osure St	atement.
			37 C.F.	R. § 1.97(e)(1).				
	□ b.	I hereb	y state	that no ite	em of inform	nation in t	his Inform	ation Di	sclosure
			Statem	ent was cit	ed in a comn	nunication	from a fore	eign pate	nt office
			in a co	unterpart	foreign app	lication as	nd, to my	knowled	lge after
			making	reasonab	e inquiry, wa	as known t	o any indiv	idual de	signated
			in 37 C	.F.R. § 1.:	56(c) more th	nan three i	nonths pric	or to the	filing of
			this Inf	ormation 1	Disclosure S	tatement.	37 C.F.R.	§ 1.97(e))(2).
□ 4.	The d	locument	(s) was/	were cited	l in a search	report by	a foreign p	patent of	fice in a
		counter	rpart for	eign appli	cation. Subn	nission of	an English	language	e version
		of the	search	report that	indicates th	ne degree	of relevan	ce found	d by the
		foreign	office	is provide	ed in satisfac	tion of the	e requirem	ent for a	concise
		explana	ation of	relevance	. 1138 OG 3	7, 38.			
□ 5.	A cor	ncise exp	lanation	of the rel	evance of th	e non-Eng	glish langu	age docu	ıment(s)
		appears	s below:						
□ 6.	Copie	es of the	docume	nts were o	ited by or su	ubmitted t	to the Office	ce in an	IDS that
		compli	es with	37 C.F.R.	§ 1.98(a)-(c)	in Applica	ation No		, filed
	•		, w	nich is rel	ied upon for	an earlier	filing date	under 3:	5 U.S.C.
		§ 120.	Thus,	copies o	f these docu	ments are	not attac	hed. 37	7 C.F.R.
		§ 1.98((d).						

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Crystal D. Sayles
Crystal D. Sayles

Attorney for Applicants Registration No. 44,318

Date: Sept. 19, 2001

1100 New York Avenue, N.W. Suite 600

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APPLICATION NO. ATTY. DOCKET NO. 1933.001000C 09/892,482 APPLICANT Kloba et al

INFORMATION DISCLOSURE STATEMENT

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			.	FILING DATE June 28, 2001		GROUP 2154	
			U.S	. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLA	SS SUB- CLASS	FILING DATE
-	AA1	5,349,678	09/1994	Morris et al.	395	800	
-	AB1	5,377,326	12/1994	Murata et al.	395	200	•
	AC1	5,410,543	04/1995	Seitz et al.	370	85.13	
•	AD1	5,426,594	06/1995	Wright et al.	364	514 R	
· · · · · · · · · · · · · · · · · · ·	AE1	5,673,322	09/1997	Pepe et al.	380	49	
	AF1	5,684,828	11/1997	Bolan et al.	375	238	
	AG1	5,684,990	11/1997	Boothby	395	619	
	AH1	5,694,546	12/1997	Reisman	395	200.9	
	AI1	5,727,129	03/1998	Barrett et al.	395	12	
	AJ1	5,732,074	03/1998	Spaur et al.	370	313	
	AK1	5,740,364	04/1998	Drerup	395	200.09	
			FOREI	GN PATENT DOCUMENTS			<u> </u>
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLA	SS SUB- CLASS	TRANSLATION
	AL1	WO 98/34203	08/1998	PCT	G07	F 19/00	Ye:
	AM1	WO 98/34422	08/1998	PCT	Н04	Q 7/00	Ye: No
	AN1	WO 00/14625	03/2000	PCT	G06	F 3/14	Ye:
	A01	0 848 339 A1	06/1998	EP	G06	F 17/30	Ye:
	AP1	0 876 034 A2	11/1998	EP	Н04	L 29/06	Yes
		OTHER (Inc)	uding Author	, Title, Date, Pertin	nent Pages, e	etc.)	
	AR	<u>1</u> RD429108,	Disclosed by	ng web pages of tempor Ericsson, Inc., Janu urchdisclosure.com, 1	uary 2000, Ke	t," Research D enneth Mason P	isclosure, ublications,
	AS	1 http://su	oport.microso	one Hour After Synchro oft.com/support/kb/art ovided by Microsoft Pr	ticles/q195/		
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"Russian Nino Computer," http://webideas.com/russian/systems/nino301.htm, last visited July 25, 2000, Web Ideas Int'l Co., 1 page.

EXAMINER

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DATE CONSIDERED

Page 2 of 37



ATTY. DOCKET NO. 1933.001000C

APPLICATION NO. 09/892,482

APPLICANT

Kloba et al.

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	JAMES LOS	DICCE	DONE DEL	11 11 12 12 1	FILING DATE June 28, 2001		GROUP 2154	I.	
				U.S.	PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUME:		DATE	NAME	CL	ASS	SUB- CLASS	FILING DATE
	AA2	5,754,		05/1998	Bittinger et al.	39	5	200.33	
	AB2	5,768,		06/1998	Galvin et al.	39	5	200.33	
	AC2	5,790,		08/1998	Ezekiel	70:	2 .	122	
	AD2	5,794,		08/1998	Kikinis	70	7	507	
	AE2	5,799,		08/1998	Krane	37	9	67	
	AF2	5,802,		09/1998	Mogul	39	5	200.33	
	AG2	5,805,		09/1998	Hanson et al.	39	5	200.09	
	AH2	5,809,	242	09/1998	Shaw et al.	39	5	200.47	
	AI2	5,813,		09/1998	Nielsen	70	7	10	
	AJ2	5,850,	517	12/1998	Verkler et al.	39	5	200.32	
	AK2	5,862,	339	01/1999	Bonnaure et al.	39	5	200.57	
:				FOREIG	N PATENT DOCUMENTS			•	
EXAMINER INITIAL		DOCUME: NUMBER		DATE	COUNTRY	CL	ASS	SUB- CLASS	TRANSLATION
	AL2	0 944	009 A2	09/1999	EP	G0	6F	17/30	Yes No
AM2								<u> </u>	Yes No
	AN2					\perp			Yes No
	A02	<u> </u>				\perp		<u> </u>	Yes No
	AP2								Yes No
		OTH	IER (Inc)	Luding Author,	Title, Date, Pertinent Pa	ages,	etc.)		
4	AR				chronization," http://www.com.com .com.	codemi	icro.co	om/fs/pll	109.html,
"Synchronization Between Your Handheld PC and Desktop PC," http://www.microsoft.com/MOBILE/hpc/features/synch.asp, last visited July 25, 2000, Microsoft, Inc., 1 page.									July 25,
	AT	2 ht	ttp://dev	vices.internet	Wireless Syncing Software c.com/news/q29908/990802pur nt 1999, internet.com Corp	ma/990	<u>3802pu</u> ı page .	ma.html,	last visited
EXAMINER						DATI	E CONSI	IDERED	

Page 3 of 37



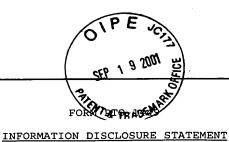
communication to Applicant.

ATTY. DOCKET NO. 1933.001000C

APPLICATION NO. 09/892,482

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<u> </u>	JRMATION	I DISCLOS	ORE SIA	ATEMENT		LING DATE ne 28, 2001			GROUP 2154		
				บ.ร.	PATE	NT DOCUMENTS					
EXAMINER INITIAL		DOCUMEN' NUMBER	r	DATE	NAM	E		CLA	\SS	SUB- CLASS	FILING DATE
	AA3	5,862,3	46	01/1999	Kle	y et al.		395	5	200.73	
	AB3	5,864,6	76	01/1999	Bee	r et al.		395	5	200.59	
***	AC3	5,873,1	00	02/1999	Ada	ms et al.		707	,	204	
-	AD3	5,877,7	66	03/1999	Bat	es et al.		345	5	357	
	AE3	5,881,2	34	03/1999	Sch	wob	•	395	5	200.49	,
	AF3	5,890,1	58	03/1999	Hou	se et al.		707	7	10	
-	AG3	5,895,4	71	04/1999	Kin	g et al.		707	,	104	
	АНЗ	5,896,5	02	04/1999	**	eh <i>et al</i> .		395	5	200.54	
	AI3	5,906,6	 5 <i>7</i>	05/1999	Tog	nazzini		709)	248	
	АЈЗ	5,918,0	13	06/1999	Mig	hdoll et al.		395	5	200.47	
	AK3	5,918,2	3 7	06/1999	Mon	talbano		707	,	513	
				FOREIG	GN PA	TENT DOCUMENTS	<u> </u>			•	
EXAMINER INITIAL		DOCUMEN'	T	DATE	COU	NTRY		CLA	ss	SUB- CLASS	TRANSLATION
	AL3										Yes No
-	АМ3				<u> </u>			_			Yes No
	AN3										Yes No
	A03				_	-					Yes No
	AP3										Yes No
	-	OTHE	R (Inc.	luding Author	, Tit	le, Date, Pert	inent Pag	ges,	etc.)		
\	AR	3 htt	p://ww	w.synchrologi	c.com	on Replicates /about/about , 2000, Synch	imobile d	ata <u>s</u>	ses," ynchro	onization.	html, 2
	"WeSync.com Previews Wireless Synchronization Solution for Handheld Computers: Collaborative Service for Handheld Users Moves Into Public Beta Today; Wireless Refresh (TM) Lets Users Perform Narrow Bandwidth Wireless Syncs," http://www.palmsizepc.com/oct99-20-1.html , last visited July 25, 2000, 3 pages, WeSync.com, Inc.									; Wireless	
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ATTY. DOCKET NO. 1933.001000C

APPLICATION NO. 09/892,482

APPLICANT

Kloba et al.

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-	-		U.S.	PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	ASS	SUB- CLASS	FILING DATE
	AA4	5,922,045	07/1999	Hanson	70	9	206	
	AB4	5,937,163	08/1999	Lee et al.	39	5	200.48	
	AC4	5,943,676	08/1999	Boothby	70	7	201	
	AD4	5,946,697	08/1999	Shen	70	7	104	
	AE4	5,948,066	09/1999	Whalen et al.	70	9	229	
	AF4	5,953,392	09/1999	Rhie et al.	37	9	88.13	
	AG4	5,954,795	Tomita et al.	70	9	218		
	AH4	5,961,601	Iyengar	70	9	229		
	AI4	5,961,602	10/1999	Thompson et al.	70	9	229	
	AJ4	5,978,828	11/1999	Greer et al.	70	9	2.24	
	AK4	5,978,833	11/1999	Pashley et al.	70	9	200	
		<u> </u>	FOREIG	N PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CL	ASS	SUB- CLASS	TRANSLATION
	AL4							Yes No
	AM4							Yes No
	AN4							Yes No
	A04					<u> </u>		Yes No
j	AP4							Yes No
		OTHER (Inc	luding Author,	Title, Date, Pertinent	Pages,	etc.)	*	
+	AR	Wireless	Internet & Mob	ess Internet & Mobile Compile Computing, ernet.com/introtol.htm,				
	"Databites," http://www.databites.com/company_info.htm , Databites Corporation pages, last visited July 26, 2000.							
"Tools for the TEKS: Integrating Technology in the Classroom: "Offline Web Browsing=No More Excuses!," Copyright 1998, Wesley A. Fryer, last visited J. 22, 2000, http://www.wtvi.com/teks/article4.html, 9 pages.								ne Web sited June
EXAMINER					DATE	CONSI	DERED	-

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		ORM	26 1 8 5001		ATTY. DOCKET NO. 1933.001000C	l .					
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 -	FORMATIO	N DIS	CLOSURE ST	ATEMENT	FILING DATE June 28, 2001						
				v.s	. PATENT DOCUMENTS						
EXAMINER INITIAL	1 1		DATE	NAME	СГ	ASS SUB- CLASS		FILING DATE			
	AA5	5,9	78,842	11/1999	Noble et al.	70	9	218			
	AB5	5,9	87,454	11/1999	Hobbs	70	7	4			
	AC5	5,9	87,476	11/1999	Imai et al.	70	7	201			
	AD5	5,9	87,499	11/1999	Morris et al.	70	9	203			
	AE5	5,9	91,800	11/1999	Burke et al.	70	9	218	1		
	AF5	6,0	06,231	12/1999	Popa	. 70	7	101			
	AG5	6,0	09,462	12/1999	Birrell et al.	70	9	206			
	AH5	6,0	21,433	02/2000	Payne et al.	70	9	219			
	AI5	6,0	23,698	02/2000	Lavey, Jr. et al.	70	707 10				
	AJ5	6,0	23,708	02/2000	Mendez et al.	70	7	203			
	AK5	6,0	26,474	02/2000	Carter et al.	71	1	202			
<u>-</u>				FORE	GN PATENT DOCUMENTS						
EXAMINER INITIAL		DOC'	UMENT BER	DATE	COUNTRY	CL	ASS	SUB- CLASS	TRANSLATION		
	AL5								Yes No		
	AM5						*****		Yes No		
	AN5								Yes No		
	A05								Yes No		
	AP5								Yes No		
			OTHER (Inc.	luding Author	r, Title, Date, Pertine	nt Pages,	etc.)				
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EXAMINER DATE CONSIDERED

ZD Inc., 2 pages.

pages.

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Dalrymple, Jim "Palm-like Internet appliance on the way from 3Com," , March 27, 2000, http://www.maccentral.com/news/0003/27.palm.shtml, Mac Publishing LLC, 3

Page 6 of 37



INFORMATION DISCLOSURE STATEMENT

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EXAMINER

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ATTY. DOCKET NO.
1933.001000C

APPLICATION NO.
09/892,482

APPLICANT
Kloba et al.

FILING DATE

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					FILING DATE June 28, 2001		GROUP 2154		
				υ.s.	PATENT DOCUMENTS				
EXAMINER INITIAL		DOCU NUME	JMENT BER	DATE	NAME	CI	ASS	SUB- CLASS	FILING DATE
	AA6	6,02	29,175	02/2000	Chow et al.	70	7	104	
	AB6	6,03	32,162	02/2000	Burke	7.0)7	501	
	AC6	6,03	35,324	03/2000	Chang et al.	70	9	203	
	AD6	6,04	11,360	03/2000	Himmel et al.	70	9	245	
	AE6	6,04	19,821	04/2000	Theriault et al.	70	9	203	
	AF6	6,05	58,416	05/2000	Mukherjee et al.	70	9	203_	
	AG6	6,06	51,718	05/2000	Nelson	70	9	206	
	AH6	6,06	55,051	05/2000	Steele et al.	70)9	219	
	AI6	6,06	55,059	05/2000	Shieh et al.	70	9	233	
	AJ6	6,07	70,184	05/2000	Blount et al.	70	9	200	
	AK6	6,07	76,109	06/2000	Kikinis	70	9	228	
	•			FOREIG	GN PATENT DOCUMENTS				
EXAMINER INITIAL		DOCU NUME	JMENT BER	DATE	COUNTRY	CI	ASS	SUB- CLASS	TRANSLATION
	AL6								Ye N
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	AN6								Ye N
	A06								Ye N
			-						Ye
	AP6								N
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	AS	<u>6</u>	http://ip	t FlashSite," w.internet.co com Corporati	m/clients servers/of on, January 12, 1999	fline brows , 2 pages.	ers/916	161991.h	tml,
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

"AvantGo Enterprise™," http://avantgo.com/enterprise/products/index.html, Copyright 2000 AvantGo, Inc., 2 pages, last visited June 21, 2000.

DATE CONSIDERED

Page 7 of 37

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	AC7	6,13	31,096	10/2000	Ng	et al.	707	<u>' </u>	10	
	AD7	6,16	1,146	12/2000	Κl	ey et al.	709)	248	-
	AE7	6,19	5,692 B1	02/2001	Hs	su	709)	219	
	AF7	6,20	9,027 B1	03/2001	Gi	bson	709)	218	
	AG7	6,22	26,650 B1	05/2001	Ма	hajan <i>et al</i> .	707	7	201	
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	A07									No Yes
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	AR	7	http://www	Software Properties 16, 2000.	ogra solu	nmming and Development," tions.com/, Pen Computer	Sol	utions	, Inc., 4	pages, last
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			"Pocket Br	owser 1.52 fo	or E	Palm-size PC."				
	AS	<u>7</u>	http://www	.ceshopper.co	om/c	eshopper/pocketbrowser.h	tml,	Copyr	ight 2000	,
			CEShopper.	com, last vis	site	ed June 22, 2000, 1 page.				
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,	AS	10 "MyB	ookmar visit	ks™," <u>http://</u> ed July 13, 2	www.mybookmarks.com	ı, Copyri	ght 2000,	MyBookma	rks.com, LLC,
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AM11 AN11 AN11 AN11 AO11 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "AvantGo Presents Mobile Computing Software at Herring On the Enterprise: Organizations such as Grenley-Stewart Resources and Rosenbluth

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International Already Reaping Benefits, "April 6, 1998, AvantGo, Inc.

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	AM12						Yes No
	AN12						Yes No
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Press Release, "UpShot Partners with AvantGo to Display Latest Sales Data on Handhelds: Field Sales Representatives Will Have the Power of Sales Automation at AS Their Fingertips, " 2 pages, September 21,1998, AvantGo, Inc.

> Press Release, "AvantGo Announces Business Partner Program: VARs, Systems Integrators, Distributors and Consultants to Deliver Enterprise Applications for Handheld Computers," 2 pages, September 21, 1998, AvantGo, Inc.

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Databases and Handhelds," 3 pages, September 21, 1998, AvantGo, Inc.

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Technologies, 3 pages, April 19, 2000, AvantGo, Inc.

Press Release, "AvantGo Integrated Into Pocket Internet Explorer for Pocket PCs: AvantGo Available on New Pocket PC Devices from Casio, Compaq, Hp and Symbol

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	Press Release, "AvantGo Empowers BG's Leading Lights with Mobile Intranet and Internet Access: Mobile Software gives International Energy Company an Advantage in Customer care and Business Management," 3 pages, April 4, 2000, AvantGo, Inc.							
/	AT	15	Press Rele Mobile Int Inc.	ease, "AvantGo cernet Service	o Embraces Macintosh Communi e to Macintosh Users," 2 pag	ity: Avant(ges, March	Go Deliver 27, 2000,	s Free AvantGo,
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Press Release, "AvantGo Integrated in Microsoft's Internet Explorer for the Pocket PC: Out of the Box, Mobile Users Gain Access to the Mobile Internet via Pocket Internet Explorer and AvantGo, " 2 pages, February 24, 2000, AvantGo, Inc.

Yes No

Press Release, "AvantGo Joins Motorola Web w/o Wires Alliance Program: AvantGo Now Easily Accessible from Motorola Web Phones, " 2 pages, February 24, 2000, AvantGo, Inc.

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Press Release, "AvantGo Optimizes User Experience On Palm IIIc™ Handheld Computers: With enhanced image support from AvantGo, Users Can View Web-Based Photos and Graphics in Color On New Devices," 2 pages, February 24, 2000, AvantGo, Inc.

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Press Release, "Leading Labels and Music Sites Go Mobile With AvantGo.Com: Columbia Records, Universal's Interscope Geffen A&M, Ticketmaster Online-CitySearch's LiveDaily.com and Milor Entertainment Group join Amazon.com and mySimon.com to bring the Music Industry to Mobile Devices," 2 pages, February 14, 2000, AvantGo, Inc.

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	AP19								Yes No
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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Page 20 of 37

		SEP	A OFFI		ATTY. DOCKET NO. 1933.001000C		APPLICATION NO. 09/892,482		
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	AR	20	Press Rele	ease, "Go Netw	ork Delivers Mobile Solut	ions w	ith Av		
	AS	20	Popular Co	ess Release, "AvantGo Delivers Award-Winning Mobile Computing Software and pular Consumer Internet Service to Europe: Company Expands into Europe; Phillip bberd to Head European Operations," 2 pages, November 1, 1999, AvantGo, Inc.					
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	AR	21	Press Rele Puma, Aeth the Windwa Open Source	ease, "Leading ner Systems, A nrd Group Esta	Mobile Computing Companie ttachmate, AvantGo, Certic blish Mobile Application L ons Protocol for Networked	s For om, G ink F	m Indu lobalw	vare, Epoc o Advance	rates and and Promote
	AS	<u>)</u>	Press Rele pages, Oct	ease, "AvantGo ober 13, 1999	Ships with New Special Ed , AvantGo, Inc.	ition	ı Palm	Vx™ Orgar	nizer," 2
	АТ	21	Press Rele	ease, "FT.Com of Your Hand,"	and AvantGo Put the Latest 2 pages, October 4, 1999,	Inte Avar	ernatio	onal Busir Inc.	ness News in
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ATTY. DOCKET NO. 1933.001000C

APPLICATION NO. 09/892,482

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	AS	28 Press Re Jornada	lease, "Avant 430se Palm-s:	EGO Teams With HP: ize PC," 2 pages, S	AvantGo.com Now September 27, 199	Available on t 99, AvantGo, In	che New HP
	AT	22 Online t ventures	o be Deliver	Online Entertainme ed to Users of Hand Internet to extend	held Devices: Th	ne Station @son	ny.com
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	AR	23	Free Inter	active Servic	.com Scales to Show e Delivers More Tha f Users," 2 pages,	n 15 Millic	on Web 1	Pages Dai	ly to	
	AS	23	Handhelds:	Attachmate C	Enterprise 3 Break orporation and Avan 9, AvantGo, Inc.	s Barrier E	Between Into Bu	Enterpri siness Al	se and liance," 3	
	AT	ر <u>23</u>	Press Rele Executive Inc.	ease, "AvantGo Joins Leading	Signs CFO Thomas H Handheld Software	unter: Form Provider,"	ner IBM August	and Firs 23, 1999	t Data Corp. , AvantGo,	
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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

communication to Applicant.

ATTY. DOCKET NO. 1933.001000C	APPLICATION NO. 09/892,482
APPLICANT Kloba et al.	
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	Press Release, "Salon.com to Provide Content for Palm Pilots and other Handhel Devices through AvantGo.com: News, Technology and other content from Salon.com now available to over 300,000 AvantGo.com subscribers," 2 pages, July 26, 1999 AvantGo, Inc.							
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	AS	<u>25</u>	Press Reledirections	s to Handheld	Partners with Vicinity Device Users Through Av	to ProvantGo.co	vide Ma om," 2	apblast! pages, Ji	Maps and une 28, 1999,
	AT	25	takes \$14 Co., 21 st	.7 Million in Century Intern	ft and 3Com Invest in A Third Round Funding fro let Venture Partners, H e 18, 1999, AvantGo, Ir	om Micro & Q Ven	soft, 3	3Com, Fay	ez Sarofim &
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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. APPLICATION NO. 1933.001000C 09/892,482

APPLICANT Kloba et al.

FILING DATE GROUP 2154 June 28, 2001 U.S. PATENT DOCUMENTS EXAMINER CLASS SUB-FILING DATE DOCUMENT DATE NAME INITIAL CLASS NUMBER AA26 AB26 AC26 AD26 AE26 AF26 AG26 AH26 AI26 AJ26 AK26 FOREIGN PATENT DOCUMENTS EXAMINER CLASS SUB-TRANSLATION DOCUMENT DATE COUNTRY INITIAL CLASS NUMBER Yes No AL26 Yes No AM26 Yes AN26 No Yes No A026 Yes AP26 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "AvantGo Teams with The Wall Street Journal Interactive Edition to Introduce New Personalized Content for AvantGo.com: AvantGo.com Provides News AR 26 Services to PalmOS and Windows CE handheld users, " 2 pages, May 17, 1999, AvantGo, Inc. Press Release, "AvantGo.com Bolstered by Name Brand Handheld Makers, Wireless Providers and Content Publishers: Microsoft, Phillips, Casio, IBM, AT&T Wireless, GoAmerica, Novatel Wireless and Others Endorse Interactive Service with 100 26 AS Optimized Channels from Leading Content Providers, " 6 pages, May 10, 1999, AvantGo, Inc. Press Release, "AvantGo Unleashes AvantGo.com, the First Free Interactive Service for Mobile Device Users: New Personalized Service Puts the Power of the Web in AΤ <u> 26</u> the Palm of Your Hand, " 3 pages, May 10, 1999, AvantGo, Inc. DATE CONSIDERED EXAMINER

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FORM PTO-14497 TRADEN INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1933.001000C APPLICATION NO. 09/892,482

APPLICANT Kloba et al.

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	AL27							_	Yes No
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			OTHER (Incl	uding Author,	Title, Date, Pertinent	Pages,	etc.)		
	AR	27	and Busine	ess Developmen	Harris Joins AvantGo as V nt: Former Member of Adob e Computing Company," 2 p	e Senio	r Mana	agement S:	igns on with
	Press Release, "AvantGo Logo Created by 1185 Design Recognized as Outstanding: Design Receives Prestigious Communications Arts (CA) Magazine Award; Logo to Appear in CA and American Corporate Identity Publications," March 29, 1999, AvantGo, Inc.								Logo to
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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. APPLICATION NO. 1933.001000C 09/892,482

APPLICANT Kloba et al.

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	AR	28	Wireless:	Interactive Winformation on	o Integrates Seamlessly with Wireless Data Capabilities A n Palm Computing Platform De	llow Real	-time Acce	ess to
	AS	28	Free Semir Based Devi	ars to Enterp .ces: Attendee	o and Microsoft Silicon Vall orises Interested in Extendi es Can Register Today for Te s, March 16, 1999, AvantGo,	ng Applica chnical S	ations to	Windows CE-
	Press Release, "AvantGo and the Windward Group Offer Free Seminars to Enterpr Interested in Extending Applications to Mobile Devices: Attendees Can Registe Today for Bay Area Sessions on April 28 & 29," 2 pages, March 15, 1999, Avanta							

EXAMINER DATE CONSIDERED

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FORM PTO-1449 INFORMATION DISCLOSURE STATEM

ATTY. DOCKET NO. 1933.001000C

APPLICATION NO. 09/892,482

APPLICANT

Kloba et al.

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GROUP 2154 June 28, 2001 U.S. PATENT DOCUMENTS EXAMINER CLASS SUB-FILING DATE DATE INITIAL DOCUMENT NAME CLASS NUMBER AA29 AB29 AC29 AD29 AE29 AF29 AG29 AH29 AI29 AJ29 AK29 FOREIGN PATENT DOCUMENTS EXAMINER CLASS SUB-TRANSLATION COUNTRY DOCUMENT DATE INITIAL CLASS NUMBER Yes No AL29 Yes No AM29 Yes No AN29 Yes No A029 Yes No AP29 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "AvantGo Recognized Again for Execllence in Mobile Computing: Mobile Insights Chooses AvantGo as Best Mobile Computing Solution in "Wireless AR <u>29</u> Internet and Intranet" Category, " 2 pages, March 8, 1999, AvantGo, Inc. Press Release, "AvantGo and Puma Deliver Open Source Code for Handheld-to-Server Connectivity: Microsoft, Sybase and Symbol Support Mobile Application Link as Key AS رو2 to Corporate Handheld Market Growth," 2 pages, March 1, 1999, AvantGo, Inc. Press Release, "AvantGo Takes Home Seven Leading Industry Awards: Hot Mobile Computing Software Company Recognized During 1998 for Creativity, Innovation and AT <u>29</u>4 Candor, "February 8, 1999, AvantGo, Inc. DATE CONSIDERED EXAMINER

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INFORMATION DISCLOSURE STATEMENT

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Kloba et al.

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	AR		elease, "Avant vantGo, Inc.	tGo F	Receives Two Awards	from T	ap M	agazir	ne," Febr	uary 26,
	AS	Press Release, "Symbol Technologies and AvantGo Announce Relationship To Provide Barcode Scanning Web Client on Symbol's New Palm Terminals," 2 pages, February 1 1999, AvantGo, Inc.								
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			OTHER (Inc	luding Autho	r, '	Title, Date, Pertinent Pa	ages,	etc.)		
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Press Release, "CNNfn and AvantGo Team Up to Help Users Keep Track of Breaking Business News on their Handheld Devices," 2 pages, January 26, 1999, AvantGo, Inc.

Press Release, "CommerceNet Awards Ecommerce Innovation: AvantGo, Brokat, DealTime, eBay, and General Magic Receive Awards," December 16, 1998, AvantGo, Inc.

EXAMINER DATE CONSIDERED

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ATTY. DOCKET NO. APPLICATION NO. 1933.001000C 09/892,482

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	AP32						Yes No				
		OTHER (In	cluding Author	, Title, Date, Pertine	nt Pages, etc.)						
	AR	32 Initiati	ve for Mobile	So and Puma Technology Device/Corporate Enter uk Initiative Lauded as utGo, Inc.	prise Applicati	on Connec	tivity:				
	AS	32 The Spor	Press Release, "The Sporting News to Deliver News, Scores to Handheld Devices: The Sporting News Teams with AvantGo to Offer In-Depth News, Analysis and Scores to Portable Platforms," 2 pages, November 20, 1998, AvantGo, Inc.								
	AT	32 Sites, M Chance t	lews and Inform	Ridder Real Cities an mation on Handheld Comp mm III Organizers in In untGo, Inc.	uters: Visitors	to Sites	Have a				
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FORM PTO-1449

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ATTY. DOCKET NO.
1933.001000C

APPLICANT
Kloba et al.
FILING DATE

APPLICATION NO.
09/892,482

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,	A033	<u> </u>								Yes No
	AP33	<u> </u>								Yes No
	<u> </u>	. (OTHER (Incl	uding Author,	Title, D	Date, Pertinent	Pages,	etc.)		
	AR	33	Handheld I	Devices: Combi	ination of	cle Team up to f AvantGo and O ages, November	racle Li	te Ena	ables Unio	
	Press Release, "Ziff-Davis and AvantGo Bring Award Winning Content From ZDNet.com to Handheld Devices," 2 pages, October 26, 1998, AvantGo, Inc.									com ZDNet.com
	Press Release, "USA Today Online Partners with AvantGo to Deliver News, Sports & Money Briefs, Travel Tips and Dow Jones Charts to Users of Handheld Devices," 2 pages, October 20, 1998, AvantGo, Inc.									

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ATTY. DOCKET NO. APPLICATION NO. 1933.001000C 09/892,482

APPLICANT Kloba et al.

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									Yes	
	AL34						 	+	No	
	AM34								Yes No	
	AN34								Yes No	
	A034								Yes No	
	AP34								Yes No	
	``		OTHER (Incl	uding Author,	Title, Date, Pertine	ent Pag	es, etc.)			
	AR	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "IndustryWeek and AvantGo Team Up to Deliver Management Best Practices News and Information to Users of Handheld Devices," 2 pages, October 6, 1998, AvantGo, Inc.								
	AS	34	Press Release, "The Industry Standard and AvantGo Team Up to Deliver News to Portable Devices: New Business Publication Takes Advantage of Publishing Trend Toward New Delivery Formats," 2 pages, August 24, 1998, AvantGo, Inc.							
	Press Release, "AT&T Executive Joins AvantGo Board of Directors: Robert J. Lesko Brings Global Services Expertise to Hot Start-Up," August 10, 1998, AvantGo, Inc.									
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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
1933.001000C

APPLICATION NO.
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Kloba et al.

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	AL35							Yes No	
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	A035							Yes No	
	AP35			- **				Yes No	
		•	OTHER (Incl	uding Author,	Title, Date, Pertinent Page	es, etc.)	·		
	Press Release, "AvantGo Announces Agreement to Deliver News From The Wall Street Journal Interactive Edition to Handheld Computers," 2 pages, August 3, 1998, AvantGo, Inc.								
	AS	Press Release, "Get Your Five-Day Weather Forecast-On A Handheld: Weather24 and AvantGo Deliver "Weather to Go" Content for Mobile Computer Users," 2 pages, June 29, 1998, AvantGo, Inc.							
	AT	35	News, Spor		merica Digital Publishing Te and Entertainment Informati Inc.				
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	AL36	•					Yes No
	AM36	·					Yes No
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	,A036		,				Yes No
	AR36						Yes No
		OTHER (I	ncluding Author	, Title, Date, Perti	nent Pages,	etc.)	
	AR.	36 Quist, Hollenb Inc.	Adobe Ventures eck Joins Avant	Go Secures \$3.5 Milli and 21st Century Into Go Board of Director	ernet Venturers," 2 pages,	e Partners Inv , June 8, 1998	est; Chris , AvantGo,
	AŚ	36 Founder AvantGo	of Diba Joins	Fast Growing Mobile Go Teams with Leading	Computing Co	ompany," May 2	6, 1998,
DUANTINO	AT	36 Users:	Wired Digital,	The New York Times, andHelds," 3 pages, N	Mercury Cent May 18, 1998,	er, InfoWorld , AvantGo, Inc	and CNET
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ATTY. DOCKET NO. 1933.001000C APPLICATION NO. 09/892,482

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	AN37	•							Yes No	
	A037								Yes No	
	AP37								Yes No	
	\	OTHER (I	cluding Author,	Title,	Date, Pertinent P	Pages,	etc.)			
	AR	Press Release, "AvantGo Wins DBMS Magazine Editor's Choice Award: Hot Mobile Computing Software Start-Up Recoginzed for Creativity and Innovation," April 20, 1998, AvantGo, Inc.								
	AS	Conduit Applica	Press Release, "AvantGo Licenses Java Technology to Palm Computing for the Conduit Development Kit, Java Edition: Technology Extends Enterprise Java Applications to the 3Com Palm Pilot Connected Organizer," 2 pages, March 23, 1998, AvantGo, Inc.							
	AT	37 http://	"Shop for Everything: Store Your Online Bookmarks or Favorites on the Web," http://www.shop-for-everything.com/freestuff/bookmarks-favorites.html , last visited July 13, 2000, 1 page.							
EXAMINER	<u></u>					DATE	CONSI	DERED		

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